

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(d))-NONPROFIT ORGANIZATION

Docket
Number
IB-1064

Applicant or Patentee: Michael J. Siminovich
Application or Patent No.: unassigned
File or Issued: October 8, 1998
Title: High Efficiency Source Coupler For Optical Waveguide Illumination System

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION The Regents of the University of California
ADDRESS OF NONPROFIT ORGANIZATION 1111 Franklin Street, Fifth Floor
Oakland, California 94607-5200

TYPE OF NONPROFIT ORGANIZATION:

☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark office regarding the invention described in: High Efficiency Source Coupler For Optical Waveguide Illumination System by inventor Michael J. Siminovich

☒ the specification filed October 8, 1998 with title as listed above.

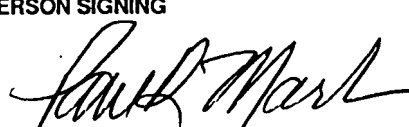
I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

☒ no such person, concern, or organization exists.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Paul R. Martin
TITLE IN ORGANIZATION OF PERSON SIGNING Manager, Patent Department
ADDRESS OF PERSON SIGNING Lawrence Berkeley National Laboratory
1 Cyclotron Road — MS 90-1121
Berkeley, CA 94720
SIGNATURE  Date October 8, 1998

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